

UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT

DAVERLYNN KINKEAD,  
SHIRLEY CAILLO and CLAUDE  
MATHIEU, individually and on  
behalf of all others similarly  
situated;

Plaintiffs,

Case No. 3:15-cv-01637 (JAM)

vs.

HUMANA, INC., HUMANA AT  
HOME, INC., and  
SENIORBRIDGE FAMILY  
COMPANIES (CT), INC.  
Defendants.

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To: [FNAME] [LNAME]  
[Address1] [ADDRESS2]  
[CITY], [STATE] [ZIP]

*A Federal Court has authorized this Notice.  
This is not a solicitation from a lawyer.*

**THIS NOTICE MAY AFFECT YOUR RIGHTS. PLEASE READ IT  
CAREFULLY.**

The purpose of this Notice is to inform you of the Settlement of the above captioned lawsuit, and the terms of that Settlement that may affect you. This Notice will instruct you on the procedure for exercising your rights under the Settlement and how to receive money from the Settlement.

**BASED ON THE TERMS OF THE SETTLEMENT YOUR ESTIMATED  
INDIVIDUAL SETTLEMENT AWARD IS A MINIMUM OF [Minimum  
Allocation].**

**Please read this Notice for more information.**

## WHAT IS THIS LAWSUIT ABOUT?

Three home health care workers, Daverlynn Kinhead, Shirley Caillo, and Claude Mathieu, brought this lawsuit on behalf of themselves and on behalf of three groups of home health care workers employed by Defendants Humana, Humana at Home, Inc., SeniorBridge Family Companies (CT), Inc., and certain predecessor or affiliated entities. Plaintiffs claimed that Defendants' past pay practices failed to pay them and the three groups of home health care workers the wages required by federal overtime, Connecticut and New York law. Defendants deny Plaintiffs' allegations that they owe additional wages and instead contend that their pay practices comply the law. In addition, Defendants contend that they continuously evaluate their pay practices to ensure compliance. The three groups are as follows:

1. Class 1: Home health care workers who worked more than 40 hours a week and were not properly compensated for overtime hours under federal law during the period between January 1, 2015 and October 12, 2015. This class was brought under federal law and workers had to affirmatively opt-into the case to be members of this class.

2. Class 2: Current or former home healthcare workers who worked in Connecticut and worked in excess of 40 hours in any week between January 1, 2015 and October 12, 2015 and/or who worked 24-hour live-in shifts at any time between January 1, 2015 and January 25, 2016.

3. Class 3: Current or former home healthcare workers who worked in New York and who worked in excess of 40 hours in any week including at least one 24-hour live-in shift between January 1, 2015 and October 12, 2015 and/or who worked 24-hour live-in shifts at any time between November 11, 2009 and January 26, 2016.

According to our records you are a member of [Class Membership]

As part of the Settlement a 4th Class raising the same claims has been added to the case:

4. Class 4: Current and former home healthcare workers who started work in New York and/or Connecticut at any time between January 26, 2016 and November 30, 2020.

The lawsuit was originally filed in November 2015. After five years of litigation, both the Plaintiffs and the Defendants recognized that continuing to litigate this dispute involved great risks as it was uncertain whether Plaintiffs would win or lose their claims and, regardless of who won, there could be a delay of years before the case was resolved. Accordingly, the Plaintiffs and Defendants, with the assistance of their attorneys, agreed to a Settlement of their disputes that represents a compromise in the position of the Plaintiffs and the position of the Defendants.

## WHAT ARE THE TERMS OF THE SETTLEMENT?

The following is a summary of the terms of the proposed Settlement. The specific and complete terms are available for review on the Settlement website [www.HHWsettlement.com](http://www.HHWsettlement.com) or you can request a copy of the complete Settlement Agreement from the Claims Administrator or from Class Counsel. Their contact information is set forth at the end of this notice.

**Settlement Fund:** The Settlement requires Defendants to pay \$17,000,000 into a Settlement Fund. This Settlement Fund shall be used to pay for the "Deductions" listed below and, after the Deductions are paid, the remaining monies will be used to pay Individual Settlement Awards to the members of the four classes.

**Deductions from the Settlement Funds:** Before the Settlement Fund is divided among the Plaintiffs and Class Members, the Plaintiffs will ask the Court to approve the following amounts to be deducted from the Fund:

(1) Up to 33 1/3% of the Settlement Fund to pay the fees of the Plaintiffs' attorneys for the time they have expended in litigating the case and obtaining the Settlement. These attorneys have pursued this case on behalf of the Named Plaintiffs and the Class Members for over five years without receiving any compensation for their services. They did so with the understanding that they would receive a portion of the Settlement Fund established through their efforts;

(2) Up to \$75,000 to reimburse the Plaintiffs' attorneys for the costs they have incurred on behalf of the class in litigating the case;

(3) Up to \$20,000 in service payments to each of the three Named Plaintiffs (a total of \$60,000) to compensate them for the risks of retaliation they exposed themselves to in order to bring this case and for their time and effort in working with the attorneys to litigate the case and reach this Settlement;

(4) Up to \$10,000 in service payments to each of four Class 1 Plaintiffs (a total of \$40,000) to compensate them for their time and the risks of retaliation they exposed themselves to by agreeing to provide testimony on behalf of the Plaintiffs and the class members;

(5) \$50,000 for the costs of administering the settlement fund including the cost of sending this notice and sending the Individual Settlement Awards to Plaintiffs and the participating Class Members if the Settlement is approved by the Court;

(6) \$50,000 to cover any errors or omissions arising from the administration or computation of Individual Settlement Awards.

**Individual Settlement Awards:** After the above deductions have been taken out of the Settlement Fund, the remaining monies, will be divided among the Class Members based on a formula that takes into account a number of factors including the hours each Class Member worked and the compensation she or he received during the period covered by this lawsuit, the wages that Plaintiffs contend Defendants should have paid, the Court's rulings to date, and the Plaintiffs' attorneys estimates of the chances of succeeding on the different claims brought by Plaintiffs.

**Release of Claims:** The Settlement provides that the Named Plaintiffs, the Members of Class 1, 2, and 3, and the Members of Class 4 who do not choose to exclude themselves from the Settlement will be deemed to have released all wage and hour claims and related claims which were or could have been asserted in the litigation under New York Law and Connecticut Law against the Defendants during the period from November 11, 2009 to January 1, 2021 for members of Classes 1, 2, and 3, and until November 30, 2020 for members of Class 4. The exact language of the releases and released parties is set forth in the Settlement Agreement available at [www.HHWsettlement.com](http://www.HHWsettlement.com).

**Court Approval:** The district court must approve the Settlement as fair, reasonable and adequate before it can go into effect. If the Court approves less than the full amount of the attorneys' fees, attorneys costs, and service awards described above, the difference between the amounts listed above as Deductions and the amounts approved by the Court will be added to the amount that will be used to pay Individual Settlement Awards. In addition, if any members of Classes 2, 3, and 4 choose not to participate in the Settlement, their Individual Settlement Award money will be used to increase the awards for the Plaintiffs and the participating Class Members on a pro-rata basis. If the full amount allocated for the costs of administering the Settlement or the money set aside for errors and omissions is not expended, the leftover money will be donated to a *cy pres* recipient approved by the Court.

If the Settlement is approved, the Individual Settlement Awards will be mailed within 45 business days after the court's approval becomes final. Defendants have the right to call off the Settlement under certain limited circumstances, but if that should happen the attorneys will continue to pursue the claims in the pending lawsuit on your behalf.

## **WHAT IS MY AWARD ESTIMATED TO BE?**

Based on the information provided by Defendants you are eligible to participate in the Settlement Fund. After deductions for the amounts described above, your Individual Settlement Award is estimated to be at least: **[\$Minimum Allocation]**

34% of this Award will be treated as wages, reported on an IRS Form W2, and will have employment taxes and withholding taxes deducted. The remaining 66% of your Award

will be reported on an IRS Form 1099 and paid to you without tax being deducted or withheld although you should consult a tax person as to your responsibility for taxes on this money. As explained above, your Individual Award may be higher than this estimated amount if members of Class 2, 3, or 4 choose not to participate in the Settlement.

If you want to know more about how your Award was calculated you can contact the Claims Administrator or visit [www.HHWsettlement.com](http://www.HHWsettlement.com).

## **WHAT ARE MY OPTIONS?**

You are automatically part of the Settlement and you do not need to do anything to receive your Individual Settlement Award. **It is extremely important that you keep the Claims Administrator informed of any changes in your address so the Claims Administrator can mail your check to you.** Fill out and send in the enclosed Change of Information form if this notice was not mailed to your current address. The Claims Administrator's contact information is: *Kinkead v. Humana* Claims Administrator, Post Office Box 10269, Tallahassee, FL 32302-2269. Facsimile: (850) 385-6008, Toll-Free: (855) 948-1762, Email: [claims@ssiclaims.com](mailto:claims@ssiclaims.com).

You also have the right to object to the terms of the Settlement if you think that any of the terms of the Settlement are unfair, unreasonable or inadequate. If you want to object you must mail or email a letter explaining the specific term(s) that you think are unfair and why. Your letter should include your name, address, telephone number and the last four digits of your social security number. To be considered, the objection must be mailed or emailed to the Claims Administrator at the address listed above no later than the 28th day of June, 2021. If the Court rejects your objection, you will still be bound by the terms of the Settlement.

## **DECEASED CLASS MEMBERS**

If the Class Member to whom this Notice is addressed is no longer alive, his or her spouse or estate representative has the same rights with respect to the Settlement that the Class Member would have had if he or she were still alive. The spouse or estate representative may choose to claim a deceased Class Members' Settlement Award by filling out the "Change of Information Form" enclosed with this notice and may object to the Settlement terms or contest the calculation.

## **NO RETALIATION**

Whether you decide to participate in this Settlement or opt-out of it you are free to make your choice without fear of retaliation. Defendants are prohibited by law from retaliating against you in any way.

## **DO I HAVE A LAWYER REPRESENTING ME?**

The Lawyers for the Class identified below have been appointed by the Court to represent you and you may contact them if you have questions.

## **WHEN WILL THE COURT MAKE A FINAL DECISION ABOUT THE SETTLEMENT?**

The Court will hold a hearing on August 24, 2021 to consider whether the Settlement is fair, reasonable and adequate for all of the affected Class Members. The Court will consider any timely objections that Class Members file and make a decision whether to approve the Settlement. The hearing will be held at 3:00 p.m. in courtroom three at the federal courthouse located at Richard C. Lee U.S. Courthouse, 141 Church Street, Courtroom Three, New Haven, CT 06510. **You do not need to attend this hearing.** Even if you file a timely objection your written objection will be considered by the Court whether you attend or not. However, you have the right to attend if you want to speak in favor of or against the Settlement at the hearing. You also have a right to have your own lawyer represent you at the hearing at your own expense if you so desire.

Please note that the date or venue for the hearing may change without further notice. Please check with the Claims Administrator in advance if you are planning to attend.

## **THE SETTLEMENT WEBSITE**

The parties have established a Settlement website which provides copies of important documents regarding the case, including the Settlement Agreement, various forms available with respect to the Settlement, and information regarding the calculation of your Settlement Award. You may also complete and electronically file the claim form on the website. The Claims Administrator will also post updates about the Settlement on the website: [www.HHWsettlement.com](http://www.HHWsettlement.com)

## **THE CLAIMS ADMINISTRATOR**

The Claims Administrator retained by the parties to send notices, track addresses, answer questions, and receive claims, exclusions and objections, is:

*Kinkead v. Humana* Claims Administrator  
Post Office Box 10269  
Tallahassee, FL 32302-2269  
Facsimile: (850) 385-6008  
Email: [claims@ssiclaims.com](mailto:claims@ssiclaims.com)  
Toll-Free: (855) 948-1762

## **THE LAWYERS FOR THE CLASS**

The lawyers for the Class are:

Michael J.D. Sweeney  
Artemio Guerra  
Getman, Sweeney & Dunn, PLLC  
260 Fair Street  
Kingston, NY 12410  
Telephone: (845) 255-9370  
Facsimile: (845) 255-8749  
paralegal@getmansweeney.com

Philip Bohrer  
Bohrer Brady, LLC  
8712 Jefferson Hwy., Suite B  
Baton Rouge, LA 70809  
Telephone: (225) 925-5297  
Facsimile: (225) 231-7000  
phil@bohrerbrady.com

Edward Tuddenham  
23 Rue Du Laos  
Paris, France  
33 684 79 89 30  
etudden@prismnet.com

## **WHERE CAN YOU OBTAIN FURTHER INFORMATION?**

This notice provides only a summary of the Settlement Agreement. If you would like to see a copy of the Settlement Agreement or obtain general Settlement information, or forms, you may obtain all relevant documents at the Settlement website [www.HHWsettlement.com](http://www.HHWsettlement.com), or you may contact the Claims Administrator, or the lawyers for the class.

**PLEASE DO NOT CONTACT THE CLERK OF THE COURT, THE JUDGE,  
DEFENDANTS OR DEFENDANTS ATTORNEYS WITH QUESTIONS. THEY  
CANNOT ANSWER QUESTIONS**